

TRANSFER OF ASSETS

A transfer of assets refers to the movement of financial assets—such as stocks, bonds, mutual funds, or cash—from one brokerage account to another. This process can occur between accounts at the same brokerage firm or across different firms. The reasons for asset transfers can be varied, including consolidating accounts for easier management, shifting to a brokerage with lower fees, or reallocating assets as part of an investment strategy.

To fight advanced money-stealing scams, we always ask the purpose of the money transfer and what the money will be used for.

Transfer of Assets (TOA) Important Notes

- Most TOAs are completed in 5-7 business days
- There are some exceptions (i.e. banks, smaller firms, annuities...etc.)
- Some securities cannot be transferred
- Typically there are *Closeout Fees* to transfer an account
- We will cover these "*Closeout Fees*" (does not include Annuity Surrender Fees)

Evergreen TOA Steps

- Upload a recent statement of the account you'd like to transfer [here](#)
- We will review for any possible transfer issues
- Phone call to review any issues and which account to transfer into
- We will setup a new account for you to take in the TOA, if necessary
- A New Account and/or the TOA will be sent for your signature via DocuSign