

*This brochure supplement provides information about Scott Anthony Preston that supplements the Evergreen Private Wealth LLC brochure. You should have received a copy of that brochure. Please contact Scott Anthony Preston if you did not receive Evergreen Private Wealth LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Scott Anthony Preston is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Scott Anthony Preston**

Personal CRD Number: 5549500

Investment Adviser Representative

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UPDATED: 01/29/2024

## Item 2: Educational Background and Business Experience

**Name:** Scott Anthony Preston      **Born:** 1981

### **Educational Background and Professional Designations:**

#### **Education:**

Bachelor Of Arts Psychology, University Of Utah - 2008

#### **Designations:**

##### **CFP® - Certified Financial Planner**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **CTS™ - Certified Tax Specialist**

The CTS™ (Certified Tax Specialist™) is a professional designation granted in the United States by the Institute of Business & Finance (IBF).

CTS™ is a voluntary designation; no federal or state law or regulation requires financial planners to hold the CTS™ designation. It is a graduate-level designation conferred upon candidates who complete a 135+ hour educational program focusing on personal income taxes and methods to reduce tax liability. CTS™ certification requires mastery of the basic income tax formula and its computation, retirement plans, deductions, credits, capital gains, depreciation, payroll taxes and tax planning.

To attain the right to use the CTS™, an individual must satisfactorily fulfill the following requirements: Pass two comprehensive exams, complete a written case study, and adhere to the IBF Code of Ethics and IBF Standards of Practice as well as fulfill annual continuing education requirements. The CTS™ program is designed for brokers and advisors who have clients interested in maximizing their after-tax returns as well as reducing their overall tax liability.

### **Business Background:**

12/2022 - Present	Managing Partner Evergreen Private Wealth LLC
08/2019 - Present	Managing Partner and IAR Independent Financial Partners
08/2019 - 08/2020	Registered Rep IFP Securities, LLC
10/2016 - 08/2019	Registered Rep LPL Financial
10/2016 - 08/2019	Investment Advisor Representative Global Retirement Partners

05/2008 - 10/2016

Financial Representative  
Fidelity Investments

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Scott Anthony Preston is not engaged in any investment-related business or occupation (other than this advisory firm).

### **Item 5: Additional Compensation**

Scott Anthony Preston does not receive any economic benefit from any person, company, or organization, other than Evergreen Private Wealth LLC in exchange for providing clients advisory services through Evergreen Private Wealth LLC.

### **Item 6: Supervision**

As a representative of Evergreen Private Wealth LLC, Scott Anthony Preston is supervised by Dustin Schick, the firm's Chief Compliance Officer. Dustin Schick is responsible for ensuring that Scott Anthony Preston adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Dustin Schick is (720) 617-2885.