

This brochure supplement provides information about Dustin Kyle Schick that supplements the Evergreen Private Wealth LLC brochure. You should have received a copy of that brochure. Please contact Dustin Kyle Schick if you did not receive Evergreen Private Wealth LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Dustin Kyle Schick is also available on the SEC's website at www.adviserinfo.sec.gov.



Form ADV Part 2B – Individual Disclosure Brochure

for

Dustin Kyle Schick

Personal CRD Number: 5191424

Investment Adviser Representative

7400 E Orchard Rd STE 2500N
Greenwood Village, CO 80111
(720) 617-2885
dschick@evergreenpwl.com

UPDATED: 01/29/2024

Item 2: Educational Background and Business Experience

Name: Dustin Kyle Schick **Born:** 1981

Educational Background and Professional Designations:

Education:

Financial Planning Certificate-Financial Planning, New York University - 2018

Master Of Business Administration- Finance, Brigham Young University - 2011

Bachelor Of Science- Business Marketing, Brigham Young University - 2006

Designations:

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

(CTS™) - Certified Tax Specialist™

- The CTS™ (Certified Tax Specialist™) is a professional designation granted in the United States by the Institute of Business & Finance (IBF).
- CTS™ is a voluntary designation; no federal or state law or regulation requires financial planners to hold the CTS™ designation. It is a graduate-level designation conferred upon candidates who complete a 135+ hour educational program focusing on personal income taxes and methods to reduce tax liability. CTS™ certification requires mastery of the basic income tax formula and its computation, retirement plans, deductions, credits, capital gains, depreciation, payroll taxes and tax planning.
- To attain the right to use the CTS™, an individual must satisfactorily fulfill the following requirements: Pass two comprehensive exams, complete a written case study, and adhere to the IBF Code of Ethics and IBF Standards of Practice as well as fulfill annual continuing education requirements. The CTS™ program is designed for brokers and advisors who have clients interested in maximizing their after-tax returns as well as reducing their overall tax liability.

Business Background:

01/2023- Present	Chief Compliance Officer Evergreen Private Wealth LLC
07/2020 - Present	Chief Operating Officer and IAR Independent Financial Partners
01/2018 - 07/2020	Financial Representative Fidelity Brokerage Services, LLC
04/2017 - 01/2018	Emergency Air Medical Industry Consultant Self-employed

08/2012 - 04/2017

Director Of Finance And Operations
Air Methods Corporation

07/2011 - 07/2012

Financial Analyst
Intel Corporation

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Dustin Kyle Schick is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Dustin Kyle Schick does not receive any economic benefit from any person, company, or organization, other than Evergreen Private Wealth LLC in exchange for providing clients advisory services through Evergreen Private Wealth LLC.

Item 6: Supervision

As the Chief Compliance Officer of Evergreen Private Wealth LLC, Dustin Kyle Schick supervises all activities of the firm. Dustin Kyle Schick's contact information is on the cover page of this disclosure document. Dustin Kyle Schick adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.