

*This brochure supplement provides information about Dustin Kyle Schick that supplements the Evergreen Private Wealth LLC brochure. You should have received a copy of that brochure. Please contact Dustin Kyle Schick if you did not receive Evergreen Private Wealth LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Dustin Kyle Schick is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Dustin Kyle Schick**

Personal CRD Number: 5191424

Investment Adviser Representative

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## Item 2: Educational Background and Business Experience

**Name:** Dustin Kyle Schick                      **Born:** 1981

### **Educational Background and Professional Designations:**

#### **Education:**

Financial Planning Certificate-Financial Planning, New York University - 2018

Master Of Business Administration- Finance, Brigham Young University - 2011

Bachelor Of Science- Business Marketing, Brigham Young University - 2006

#### **Designations:**

##### **CFP® - Certified Financial Planner**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **(CTS™) - Certified Tax Specialist™**

- The CTS™ (Certified Tax Specialist™) is a professional designation granted in the United States by the Institute of Business & Finance (IBF).
- CTS™ is a voluntary designation; no federal or state law or regulation requires financial planners to hold the CTS™ designation. It is a graduate-level designation conferred upon candidates who complete a 135+ hour educational program focusing on personal income taxes and methods to reduce tax liability. CTS™ certification requires mastery of the basic income tax formula and its computation, retirement plans, deductions, credits, capital gains, depreciation, payroll taxes and tax planning.
- To attain the right to use the CTS™, an individual must satisfactorily fulfill the following requirements: Pass two comprehensive exams, complete a written case study, and adhere to the IBF Code of Ethics and IBF Standards of Practice as well as fulfill annual continuing education requirements. The CTS™ program is designed for brokers and advisors who have clients interested in maximizing their after-tax returns as well as reducing their overall tax liability.

### **IACCP® - Investment Adviser Certified Compliance Professional**

The INVESTMENT ADVISER CERTIFIED COMPLIANCE PROFESSIONAL® or IACCP® certification is voluntary and is sponsored by COMPLY and the Investment Adviser Association (IAA). No federal or state law or regulation requires investment adviser compliance professionals to hold the IACCP designation. More information about the IACCP designation can be found at <https://www.comply.com/services/education-iaccp>.

IACCP Designees have met high standards for education, examination, experience, and agree to adhere to professional and ethical standards. To become an IACCP Designee, an individual must fulfill the following requirements:

- Education: The IACCP Program coursework consists of 17 required compliance courses and three electives. The courses are grouped into the following categories: the Advisers Act, Disclosures, Trading, Mandates, Ethics, Skills, and Electives. All are two hours in length and are available either live/in-person or live/online.

- Examination: Pass the comprehensive IACCP Certifying Examination. The Examination tests the candidate's knowledge of investment adviser regulations as well as industry best practice, as presented in the IACCP education courses and material. This representation of industry best practice is driven by compliance industry consensus and periodic job task analysis, gained through subject matter experts with decades of experience in the compliance field. The purpose of the IACCP Examination is to help ensure that investment adviser compliance professionals have a minimum level of general foundation knowledge related to applicable regulatory laws, rules, requirements, and certain best practices.
- Experience: Provide proof of a minimum of two years of work experience relating to investment adviser compliance.
- Ethics: Complete an Ethics Assessment and agree to adhere to the IACCP Code of Ethics and Professional Standards of Conduct.

Individuals who become certified as IACCP Designees must complete the following ongoing education and ethics requirements to maintain the right to continue to use the IACCP designation.

- Continuing Education: Complete 12 professional continuing education (CE) credit hours each year. Two (2) of the 12 credit hours must be earned by attending an approved ethics program(s). The purpose of the IACCP Continuing Education Requirement is to ensure that IACCP Designees maintain and enhance professional competence; maintain the IACCP certification; review ethics and professional standards of conduct; remain current with regulatory changes and trends; refresh investment adviser compliance knowledge; and increase the level and depth of investment adviser knowledge. Credits can be earned by attending qualified educational programs offered through COMPLY, IAA, or certain other industry educational providers.
- Ethics: Commit to continued compliance with the IACCP Code of Ethics and Professional Standards of Conduct.

### **Business Background:**

01/2023- Present	Chief Operating Officer and IAR Evergreen Private Wealth LLC
01/2023- 12/2025	Chief Compliance Officer Evergreen Private Wealth LLC
07/2020 – 07/2023	Chief Operating Officer and IAR Independent Financial Partners
01/2018 - 07/2020	Financial Representative Fidelity Brokerage Services, LLC
04/2017 - 01/2018	Emergency Air Medical Industry Consultant Self-employed

08/2012 - 04/2017

Director of Finance and Operations  
Air Methods Corporation

07/2011 - 07/2012

Financial Analyst  
Intel Corporation

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Dustin Kyle Schick is not engaged in any investment-related business or occupation (other than this advisory firm).

### **Item 5: Additional Compensation**

Dustin Kyle Schick does not receive any economic benefit from any person, company, or organization, other than Evergreen Private Wealth LLC in exchange for providing clients advisory services through Evergreen Private Wealth LLC.

### **Item 6: Supervision**

As a representative of Evergreen Private Wealth LLC, Dustin Schick is supervised by Liz Manteau, the firm's Chief Compliance Officer. Liz Manteau is responsible for ensuring that Dustin Schick adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Liz Manteau is (720) 549-3303.